# ISA Stocks and Shares re-registration Authority

This Authority can be used for re-registration of funds listed on the Novia Investments List only. To transfer equities listed on the London Stock Exchange please use the Equity Transfer Authority. Once completed, please return this form and any additional enclosures to Novia Client Services, PO Box 4328, BATH, BA1 0LR. PLEASE COMPLETE THIS FORM IN CAPITALS USING BLACK INK.

## Re-registration authority

**Please print one re-registration authority for each Manager you wish to re-register from.**

I confirm that by virtue of paragraph 6 of Schedule 19 of the Finance Act 1999 this transaction is exempt from Stamp Duty Reserve Tax. I authorise Novia Financial plc to submit this re-registration authority to the Manager detailed below and for the Manager to execute the re-registration with immediate effect.

I confirm that the re-registration of the assets listed below will not affect any change of beneficial owners from or among the existing holders and is not for consideration of money or monies worth.

I understand following the completion of the re-registration, Novia will convert any rebate paying assets to the non-rebate paying share class.

## Registered holder's name

☐ Mr ☐ Mrs ☐ Miss Other ☐ Male ☐ Female

Surname

Forename(s)

National Insurance Number

**Failure to provide your correct National Insurance Number can make your ISA invalid and so you will lose the tax benefits.**

### Residential Address

House number/name | County

Street name | Country

District | Postcode

Town/City

## Name and address of existing Manager

Manager name

Building number/name | County

Street name | Country

District | Postcode

Town/City

Account number registered with Manager

## Assets to be re-registered

| Full name of assets to be re-registered (all assets are to be re-registered in full) | Inc/Acc |
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## Assets to be re-registered *continued*

| Full name of assets to be re-registered (all assets are to be re-registered in full) | Inc/Acc |
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Account Number registered with the Manager

Amount contributed so far in this tax year £

X Please transfer any remaining cash from the specified account to Novia

## Declaration

I authorise the Manager shown on this Authority to provide Novia Financial plc with any information it may require, and re-register the assets detailed above to Novia (ISA) Nominee Limited with immediate effect.

Applicant signature

Applicant name

Date DD/MM/YY

/Novia Financial plc is a limited company registered in England & Wales. Register Number: 06467886. Registered office: Cambridge House, Henry St, Bath, Somerset, BA1 1JS.
Novia Financial plc is authorised and regulated by the Financial Conduct Authority. Register Number: 481600.