



This form is part of the Novia account opening process and we require it to report tax information to HMRC.

If the corporate investor does not meet all the conditions of the UK declaration, we require this completed form. Please use on behalf of limited companies, partnerships, sole traders (where this is intended to be separate to an individual's account). We reserve the right to return money to the Investor or source of any transfer if requirements are not completed in full. Novia do not accept applications from US persons/entities as per our Terms and Conditions, please contact Client Services on 0345 680 8000 if you require any clarification.

Novia will accept the receipt of this form without a signature, **provided it is submitted through the Adviser Zone secure email service.** If you choose to sign the completed form please arrange for the original to be returned to Novia.

If you need assistance completing this form please contact the Client Services Team on 0345 680 8000.

Investor details

Investor number	<input type="text"/>
Corporate Entity Name	<input type="text"/>
Legal Entity Identifier(LEI) number	<input type="text"/>
GIIN (Global Institution Identity Number)	<input type="text"/>
Country of incorporation	<input type="text"/>
Registration number	<input type="text"/>
Tax Identification Number	<input type="text"/>

Name of Tax Identification Number (If not TIN)

Name of Local Tax Authority	<input type="text"/>
Registered Trading Address (inc postcode)	<input type="text"/>
Correspondence Address (if different) (inc postcode)	<input type="text"/>
Nature of business	<input type="text"/>
Source of wealth	<input type="text"/>

Director details

Director 1

Title	<input type="text"/>	Date of birth	<input type="text"/>
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address (inc postcode)	<input type="text"/>		

/ FATCA/CRS for Corporate Account Self-Certification

NINO

Tax Identification Number

Name of local Tax Authority

Name of Tax Identification Number (if not TIN)

Are you UK resident for tax purposes?

Yes

No

Do you hold tax residency in another country – if so, please list.

Nationality (please list all nationalities)

Country and place of birth

Director 2

Title

Date of birth

Forename(s)

Surname

Address

NINO

Tax Identification Number

Name of local Tax Authority

Name of Tax Identification Number (if not TIN)

Are you UK resident for tax purposes?

Yes

No

Do you hold tax residency in another country – if so, please list.

Nationality (please list all nationalities)

Country and place of birth

Director 3

Title

Date of birth

Forename(s)

Surname

Address (inc postcode)

NINO

/ FATCA/CRS for Corporate Account Self-Certification

Tax Identification Number	<input type="text"/>
Name of local Tax Authority	<input type="text"/>
Name of Tax Identification Number (if not TIN)	<input type="text"/>
Are you UK resident for tax purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Do you hold tax residency in another country – if so, please list.	<input type="text"/>
Nationality (please list all nationalities)	<input type="text"/>
Country and place of birth	<input type="text"/>

Director 4

Title	<input type="text"/>	Date of birth	<input type="text"/>
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address (inc postcode)	<input type="text"/>		
NINO	<input type="text"/>		
Tax Identification Number	<input type="text"/>		
Name of local Tax Authority	<input type="text"/>		
Name of Tax Identification Number (if not TIN)	<input type="text"/>		
Are you UK resident for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Do you hold tax residency in another country – if so, please list.	<input type="text"/>		
Nationality (please list all nationalities)	<input type="text"/>		
Country and place of birth	<input type="text"/>		

Beneficial Owner details (individuals owning or controlling more than 25% of body corporates or partnership)

Beneficial Owner 1

Title	<input type="text"/>	Date of birth	<input type="text"/>
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address (inc postcode)	<input type="text"/>		

/ FATCA/CRS for Corporate Account Self-Certification

NINO	<input type="text"/>
Tax Identification Number	<input type="text"/>
Name of local Tax Authority	<input type="text"/>
Name of Tax Identification Number (if not TIN)	<input type="text"/>
Are you UK resident for tax purposes?	Yes <input type="checkbox"/> No <input type="checkbox"/>
Do you hold tax residency in another country – if so, please list.	<input type="text"/>
Nationality (please list all nationalities)	<input type="text"/>
Country and place of birth	<input type="text"/>

Beneficial Owner 2

Title	<input type="text"/>	Date of birth	<input type="text"/>
Forename(s)	<input type="text"/>		
Surname	<input type="text"/>		
Address (inc postcode)	<input type="text"/>		
NINO	<input type="text"/>		
Tax Identification Number	<input type="text"/>		
Name of local Tax Authority	<input type="text"/>		
Name of Tax Identification Number (if not TIN)	<input type="text"/>		
Are you UK resident for tax purposes?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Do you hold tax residency in another country – if so, please list.	<input type="text"/>		
Nationality (please list all nationalities)	<input type="text"/>		
Country and place of birth	<input type="text"/>		

Declaration on behalf of the Investor (This section must be completed regardless of submission method)

Novia will accept the receipt of this form without a signature, **provided it is submitted through the Adviser Zone secure email service**. If you choose to sign the completed form please arrange for the original to be returned to Novia. By submitting this form you are confirming the following:

- / I declare that the information in this form is true and correct to the best of my knowledge.
- / I agree to indemnify Novia Financial Plc against all such claims or losses, including additional tax charges and fines, in the event that the information provided proves to be incorrect.
- / I understand that checks may be performed on this instruction to confirm details are correct.

/ FATCA/CRS for Corporate Account Self-Certification

- / I confirm that I have the Investor's authority to submit this instruction on their behalf.
- / I declare that the information provided on this form is to the best of my knowledge and belief, accurate and complete.
- / I undertake to advise Novia promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete.
- / Where legally obliged to do so, I hereby consent to Novia sharing this information with the HMRC (UK Tax Authority) where applicable and I understand and accept that this information may then be shared with the tax authority in the country of residence.

Adviser Name

Position

Firm name

Firm FCA number

Adviser's Signature

If you are submitting by post,
you must sign here.

Date