

The following pages are to be completed if you wish to transfer equities into your SIPP.

Notes for completion of this Equity Transfer Authority

Share/EPIC Code:	This is the standard share trading code as registered on the London Stock Exchange
Name of Share:	This is the full name of the share as registered on the London Stock Exchange. Please include security type and denomination.
Registered name of holding:	The full name of the holder as listed at the Share Registry and shown on the Contract Note(s).
Number of Shares:	The number of shares you are transferring to your Novia Stockbroker Account.
Additional documents required:	
Certificated:	If the shareholding being transferred is certificated, we will require the original certificate(s) and completed attached CREST transfer form.
CREST:	If the share being transferred is registered on CREST, please provide a recent statement showing all details of the shareholding you wish to transfer.
Broker:	If the share being transferred is held with a broker, a nominee or direct with registrars, please ensure you also provide us with full account and contact details.



Self-Invested Personal Pension Equity Transfer Authority

Equity Transfer Authority (please complete one authority for each Broker)

Registered name of holding

<input type="checkbox"/> Mr	<input type="checkbox"/> Miss	<input type="checkbox"/> Mrs	Other <input type="text"/>	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Surname	<input type="text"/>			Date of birth	<input type="text"/>
Forename(s)	<input type="text"/>			National Insurance number	<input type="text"/>

Failure to provide your correct National Insurance Number may impede our ability to transfer the shares into your SIPP.

Registered address

House number/name	<input type="text"/>	County	<input type="text"/>
Street name	<input type="text"/>	Postcode	<input type="text"/>
District	<input type="text"/>	Country	<input type="text"/>
Town/City	<input type="text"/>		

Please provide any previous addresses which are still registered against any investments you are transferring in this application

Previous address 1

House number/name	<input type="text"/>
Street name	<input type="text"/>
District	<input type="text"/>
Town/City	<input type="text"/>
County	<input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>

Previous address 2

House number/name	<input type="text"/>
Street name	<input type="text"/>
District	<input type="text"/>
Town/City	<input type="text"/>
County	<input type="text"/>
Postcode	<input type="text"/>
Country	<input type="text"/>

Name and address of existing Broker

Broker name	<input type="text"/>		
Building number/name	<input type="text"/>	County	<input type="text"/>
Street name	<input type="text"/>	Postcode	<input type="text"/>
District	<input type="text"/>	Country	<input type="text"/>
Town/City	<input type="text"/>		
Account number registered with the Broker	<input type="text"/>		

Shares to be transferred

Please use this section to supply the details of the shareholding that you wish to transfer into your Novia Stockbroker Account. You may transfer any shares listed on the London Stock Exchange. Please refer to the notes on page 1 for more details.

Share/EPIC code	Name of Share (full details including security type and denomination)	Name of registered holder	Number of shares	Certified <i>Please Tick</i>	Crest <i>Please Tick</i>

Please complete the attached CREST Transfer Form where appropriate

Please transfer outstanding cash to Novia as part of this equity transfer

I authorise the Broker shown on this Authority to provide Novia Financial plc with any information it may require, and to transfer the assets detailed above to the Stockbroker with immediate effect.

I authorise Novia Financial plc to submit this transfer authority to the Broker detailed in this authority and for the manager to execute the transfer with immediate effect.

I confirm that the transfer of the assets listed below will not affect any change of beneficial owners from or among the existing holders and is not for consideration in money or moneys worth.

Applicant Signature

Applicant name (please print)

Date

**CREST
TRANSFER**

Above this line for Registrar's use

Counter Location Stamp	Barcode or Reference SDRN
------------------------	---

Above this line for completion by the depositing system-user only.

Name of Undertaking.

Description of Security

Please complete form in type or in block capitals.

Name(s) of registered holder(s) should be given in full: the address should be given where there is only holder.

If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer

Please Sign Here

Full name(s) of the person(s) to whom the security is transferred

Such person(s) must be a system member.

Reference to the Registrar in this form means the registrar of registration agent of the undertaking, not the Registrar of Companies at Companies House.

Consideration Money	Certificate(s) lodged with Registrar (To be completed by Registrar)
Name of Undertaking.	
Description of Security	Figures
Amount of shares or other security in words	
Name(s) of registered holder(s) should be given in full: the address should be given where there is only holder. If the transfer is not made by the registered holder(s) insert also the name(s) and capacity (e.g. executor(s) of the person(s) making the transfer	Designation (if any)
	Balance certificate(s) required
I/We hereby transfer the above security out of the name(s) aforesaid into the name(s) of the system-member set out below and request that the necessary entries be made in the undertaking's own register of members. Signature(s) of transferor(s) 1. 2. 3. 4. A body corporate should execute this transfer under its common seal or otherwise in accordance with applicable statutory requirements.	Stamp of depositing system-user
	Date

Full name(s) of the person(s) to whom the security is transferred Such person(s) must be a system member.	Participant ID
	Member Account ID

CRESTCo Limited is delivering this transfer at the direction and on behalf of the depositing system-user whose stamp appears herein and does not in any manner or to any extent warrant or represent the validity, genuineness or correctness of the transfer instructions contained herein or the genuineness of the signature(s) of the transferor(s). The depositing system-user by delivering this transfer to CRESTCo Limited authorises CRESTCo Limited to deliver this transfer to registration and agrees to be deemed for all purposes to be the person(s) actually so delivering this transfer for registration.

This form should be used only for a transfer of a certificated unit of a security to a CREST member to be held by a CREST member in uncertificated form. It should not be used for conversion of a unit held by a CREST member into uncertificated form.

The CREST rules requires that this form be used for the transfer of a unit of a certificated security to a CREST member to be held by that member in uncertificated form. Any such transfer on this form is exempt from stamp duty.



Stockbroker Account Application

If you do not already have a Stocktrade Account for the Novia SIPP wrapper you are transferring into, complete the section below.

Novia Stockbroker Account Application (please complete if a Stockbroker Account is required)

Either you or your Adviser can have dealing rights on this Product Wrapper. The remaining party will be able to view transactions and Stockbroker events on this Product Wrapper.

Please indicate if it is the Adviser or applicant requesting dealing rights for the account.

Applicant or Adviser

If Adviser:

Name of applicant's representative who will access the account:

Adviser email address

Please note: where you choose to have different levels of access for the account on different Product Wrappers, you will be given 2 different Novia Stockbroker Account usernames and passwords. One for the Product Wrappers with view only rights and one for the Product Wrappers where you choose to have dealing rights.