



Dealing and Investments Administrator

Department: Investment Administration, Novia Financial

Reports to: Dealing & Investment Admin Team Leader

Main Location: Bath (hybrid working available)

Salary: £18,000 - £23,000 + Bonus + Excellent Benefits

About Novia Financial

Novia Financial is an award-winning financial technology company based in the heart of beautiful Bath. We provide Advisers with the technology, trading capabilities and service to help deliver great customer outcomes to their clients. Our success means we are expanding our team as we enter a new phase of growth. If you enjoy working in a fast paced, energetic environment and are looking to progress your career as part of a thriving company, we would like to hear from you.

Job Summary/Purpose

The purpose of this position is to assist the Dealing team in their business-critical daily responsibilities. A mixture of operational and regulatory focus provides a varied and interesting role for someone who has experience in this area, or for an ambitious and talented individual who wants to progress in this direction.

The successful candidate will be key in both delivering team and individual targets within the appointed timescales and to the highest standards.

Specialist Skills, Qualifications and Experience:

Essential:

- / Good attention to detail.
- / Excellent interpersonal, organisation and communication skills.
- / Ownership and accountability.
- / Proactive and driven.
- / Working knowledge of Excel.
- / Ability to work well under pressure.

Desirable:

- / Investment Management Certificate (IMC), Investment Operations Certificate (IOC) or equivalent industry recognised qualification.
- / Financial services experience.
- / Understanding of investments and investment products.

Key Responsibilities:

- / Responsibility for the completion of the core team processes:
 - / Trading at hourly and weekly artificial valuation points.
 - / Daily trading controls and investigation.
 - / Daily pricing controls.
 - / Float account management.
 - / Contract note processing and verification.
 - / Transaction reporting.
 - / Corporate actions.
 - / Fund loading.
- / Achieve departmental service level agreements (SLAs) and key performance indicators (KPIs).
- / Demonstrate flexibility during periods of high workload, assisting other services when required.
- / Support the business software infrastructure with user acceptance testing and disaster recovery cycles

- / Achieve and actively maintain your skills and knowledge to adhere to the Training and Competence standards.
- / Continually focus on delivery of excellent customer service to ensure that the Company is highly valued by our clients.
- / Liaison with Investment Managers, designated Stockbrokers and other associated third-party providers
- / Ensure that the Treating Customers Fairly ('TCF') principles are adhered to, supporting Customer Service improvement initiatives, raising any areas for concern with either line manager or Compliance.

You will, from time to time, be required to undertake other activities that fall within your capabilities as directed by management.

Core Competencies:

- / **Motivating Others:** The willingness and ability to motivate and inspire others by means of appropriate challenges, empowerment, rewards and recognition.
- / **Teamwork:** The ability to work co-operatively with others, to be a member of a team and work towards the purpose of a common goal. Building and maintain strong working relationships with advisers, Sales and colleagues.
- / **Decision Making:** The ability to make balanced and sound decisions by understanding issues, problems, and opportunities; comparing data from different sources to draw conclusions; evaluating the probable consequences of alternative solutions and selecting the most promising alternative.
- / **Networking:** Identifying opportunities and taking action to build strategic relationships between one's area and other areas, teams, department, units, or organisations to help achieve business goals.
- / **Client Service:** Taking responsibility for client satisfaction and loyalty by effectively meeting specific client needs and developing and maintaining productive client relationships.
- / **Communication:** The ability to effectively communicate at all levels, both written and verbally, with all internal/external customers.

Working at Novia Financial

Some of the benefits of working at Novia Financial:

- / Competitive salary.
- / Annual discretionary bonus scheme.
- / Working from home options available.
- / Hybrid working.
- / 25 days holiday entitlement plus bank holidays, with the option to purchase or sell up to 5 additional days per year.
- / Tech Scheme (buy discounted electrical products and pay back monthly via Company payroll).
- / Cycle to Work scheme.
- / Supported and encouraged professional development.
- / Regular social events.
- / Flexible dress code in the office.
- / Private medical scheme.
- / Income protection scheme.
- / Group contributory pension scheme.
- / Internal mentoring scheme.
- / Employee Assistance Programme (EAP).
- / Interest free season ticket loans for travel costs.
- / Various corporate gym membership rates.
- / Novia Foundation Day in support of charitable activities.

To apply for this role, please email an up-to-date CV and short cover letter to

jobs@novia-financial.co.uk